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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dolly et al.	) I hereby certify that this correspondence is being deposited
	) with the United States Postal Service with sufficient postage
Serial No.: 09/648,692	) as First Class Mail in an envelope addressed to:
<b>Filed:</b> August 25, 2000	Information Disclosure Statement-Non Fee
·	Assistant Commissioner for Patents, Washington, D.C. 20231 on:
For: ACTIVATABLE	) Date of Deposit: $9/22/c_{\odot}$
RECOMBINANT NEUROTOXINS	Person making Deposit: BONNIE FERGUSON
	) Signature of person making deposit: Bonnie Ferguson
	) Date of Signature: $9/22/\infty$
Examiner: Not Assigned	) /
Group Art Unit: Not Assigned	)
2	)

## **INFORMATION DISCLOSURE STATEMENT**

Box: Information Disclosure Statement-Non Fee

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Dear Sir:

Applicant herewith submits form PTO 1449 for consideration by the Examiner, consistent with the provisions of 37 CFR § 1.97 and 1.98. By submitting this Information Disclosure Statement, Applicant makes no admission that any item listed thereupon is material to the patentablility of the invention claimed in the above-entitled patent application. Further, Applicant makes no assertion hereby that a search was conducted, or if conducted, that any search was thorough.

Copieș of references newly referenced in this Information Disclosure Statement are submitted herewith.

As this Information Disclosure Statement is being submitted prior to three months after the filing date of this Application, no fee or certification is thought to be required, pursuant to 37 CFR §1.97(b). If Applicant is in error in this regard, please use Deposit Account 01-0885 for payment of any fee that may be due.

Respectfully submitted,

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U.S. DEPARTMENT OF COMMERCE FORM PTO-1449
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	LOST OF REFI	ERENCES CITED BY APPLICANT
ATTY. DOCKET: 17311(AP)	15 mm 8 H	SERIAL NO.: 09/648,692
APPLICANT: Dolly et al	/ 28 .	TITLE: ACTIVATABLE RECOMBINANT NEUROTOXINS
FILING DATE: August 25, 2000	STENT & TRACEM	GROUP: Not Assigned

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (if applicable)
	AA	5,989,545	11/23/1999	Foster et al			
	AB	4,683,202	7/28/1987	Mullis			
	AC	4,800,159	1/24/1989	Mullis			7
	AD	5,919,665	7/6/1999	Williams			

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (yes/no)
<u> </u>	AH	WO 95/32738 ~	12/7/1995	PCT	1		
	AI	WO 99/55359 1	11/4/1999	PCT			
	AJ	WO 96/33273 -	10/24/1996	PCT			
	AK	WO 98/07864 -	2/26/1998	PCT			
	AL	WO 99/17806 -	4/15/1999	PCT			
	AM	WO 98/08540	3/5/1998	PCT			

## OTHER REFERENCES

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·	AU	Coffield et al, "The Site and Mechanism of Action of Botulinum Neurotoxin in Therapy with Botulinum Toxin, p 3-13 (1994)	gs.
	AV	Dolly et al, "Probing the process of transmitter release with botulinum and tetanus neurotoxins" Seminars in Neuroscience, 6 (3): pgs. 149-158 (1994)	
	AW	Foran et al, "Botulinum Neurotoxin C1 Cleaves both Syntaxin and SNAP-25 in Intact and Permeabilized Chroma Cells: Correlation with Its Blockade of Catecholamine Release", Biochem. 35: pgs. 2630-2636 (1996)	ffin
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	BA	Lacy et al, "Crystal structure of botulinum neurotoxin type A and implications for toxicity" Nature Struct. Biol. O (10): pgs. 898-902 (1998)	ct; 5
	BB	Kurazono et al, "Minimal Essential Domains Specifying Toxicity of the Light Cahins of Tetanus Toxin and Botulinum Neurotoxin Type A", J. Biol. Chem.: pgs. 14721-14729 (1992)	
	BC	Smith et al, "Mutagenesis at a Specific Position in a DNA Sequence", J. Biol. Chem. 253: No. 18, September 25 is pgs. 6651-6560 (1978)	ssue,
	BD	Li et al, "Expression and Characterisation of the Heavy Chain of Tetanus Toxin: Reconstitution of the Fully-Recognisant Dichain Protein in Active Form", J. Biochem. 125: pgs. 1200-1208 (1999)	
EVANIATED		The containing	

EXAMINER DATE CONSIDERED \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

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